

MIRA INFORM REPORT

Report No. :	531293
Report Date :	21.09.2018

IDENTIFICATION DETAILS

Name :	HSBC MUTUAL FUND
Registered Office :	No. 16, 3 rd Floor, V N Road, Fort, Mumbai – 400001, Maharashtra
Tel. No.:	91-22-66145000
Country :	India
Financials (as on) :	31.03.2017
Date of Incorporation :	12.12.2001
Unit Capital :	INR 51176.359 Million
PAN No.: [Permanent Account No.]	AAATH2467G
GSTN : [Goods & Service Tax Registration No.]	27AAATH2467G1ZP
Legal Form :	Trust
Line of Business :	Subject is engaged into Mutual Fund Investments that provides a wide range of Mutual Fund and Portfolio management services for all investors. [From Indirect Sources]
No. of Employees :	Information denied by the management

RATING & COMMENTS

(Mira Inform has adopted New Rating mechanism w.e.f. 23rd January 2017)

MIRA's Rating :	A+
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Credit Rating	Explanation	Rating Comments
A+	Low Risk	Business dealings permissible with low risk of default

Status :	Good
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Payment Behaviour :	Regular
Litigation :	Clear
Comments :	<p>HSBC Mutual Fund was established in the year 2001 as an trust under the Indian Trust Act, 1882, with "HSBC Securities and Capital Markets India Private Limited" as the fund's AMC.</p> <p>The subject acts as a portfolio manager and provides wide range of portfolio management services and schemes including cash fund, low duration fund, flexi debt fund, short duration fund, etc.</p> <p>As per indirect source we could find that the subject has assets under management of INR 106218.400 Million as on June 30th 2018.</p> <p>Rating takes into consideration, the established track record of the business operation backed by its strong managerial and financial support from HSBC Group.</p> <p>Rating continues to derives strength from highest degree of safety regarding timely receipt of payment from investment along with strong liquidity support group.</p> <p>However, these rating strengths gets partially offset by its presence in highly competitive and sensitive equity and capital markets and volatility in its returns.</p> <p>Payment seems to be regular.</p> <p>In view of aforesaid, the company can be considered for business dealings at usual trade terms and condition.</p>

NOTES :

Any query related to this report can be made on e-mail : infodept@mirainform.com while quoting report number, name and date.

EXTERNAL AGENCY RATING

Rating Agency Name	ICRA
Rating	Cash Funds = A1+
Rating Explanation	Very strong degree of safety and carry lowest credit risk
Date	03.07.2018

Rating Agency Name	ICRA
Rating	Flexi Debt Fund = AAA
Rating Explanation	Highest degree of safety and carry lowest credit risk
Date	03.07.2018

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RBI DEFAULTERS' LIST STATUS

Subject's name is not enlisted as a defaulter in the publicly available RBI Defaulters' list.

EPF (Employee Provident Fund) DEFAULTERS' LIST STATUS

Subject's name is not enlisted as a defaulter in the publicly available EPF (Employee Provident Fund) Defaulters' list as of 31-03-2018.

BIFR (Board for Industrial & Financial Reconstruction) LISTING STATUS

Subject's name is not listed as a Sick Unit in the publicly available BIFR (Board for Industrial & Financial Reconstruction) list as of 21.09.2018.

IBBI (Insolvency and Bankruptcy Board of India) LISTING STATUS

Subject's name is not listed in the publicly available IBBI (Insolvency and Bankruptcy Board of India) list as of report date.

INFORMATION DENIED

Management Non-Cooperative (Tel. No.: 91-22-66145000)

LOCATIONS

Registered Office :	No. 16, 3 rd Floor, V N Road, Fort, Mumbai – 400001, Maharashtra, India
Tel. No.:	91-22-66145000
Fax No.:	91-22-40029600
E-Mail :	hasbcmf@camsonline.com
Website :	http://www.camsonline.com
AMC Centres :	<ul style="list-style-type: none"> • Mardia Plaza, C.G. Road, Ahmedabad – 380006, Gujarat, India • No. 7, HSBC Center, M G Road, Bangalore – 560001, Karnataka, India • SCO 1, Sector 9 D, Chandigarh – 160017, India • No 30, Rajaji Salai, 2nd Floor, Chennai – 600001, Tamilnadu, India • 6-3-1107 & 1108, Rajbhavan Road, Somajiguda, Hyderabad – 500082, Telanagna, India • The Hongkong and Shanghai Banking Corporation Limited, 31 BBD Bagh, Dalhousie Square, Kolkata – 700001, West Bengal, India • 16, V. N. Road, Fort, Mumbai – 400001, Maharashtra, India

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	<ul style="list-style-type: none"> • 3rd Floor, East Tower Birla Tower 25, Barakhamba Road, New Delhi – 110001, India • Amar Avinash Corporate City, Sector No.11, Bund Garden Road, Pune - 411011, Maharashtra, India
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MANAGEMENT

As on 31.03.2018

Name :	Mr. Ravi Mohan
Designation :	Chief Executive Officer / Managing Director
Name :	Mr. Tushar Pradhan
Designation :	Chief Information Officer
Name :	Mr. Sumesh Kumar
Designation :	Compliance Officer
HSBC LARGE CAP EQUITY FUND	
BOARD OF TRUSTEES	Mr. Nasser Munjee Mr. Manu Tandon Mr. Mehli Mistri Mr. Dilip J. Thakkar Ms. Jasmine Batliwalla Mr. Pedro Bastos
BOARD OF DIRECTORS	Ms. Kishori J. Udeshi - Chairperson Mr. S. P. Mustafa Mr. Dinesh Mittal Mr. Ravi Menon - Chief Executive Officer
HSBC GLOBAL EMERGING MARKETS FUND	
BOARD OF TRUSTEES	Mr. Nasser Munjee Mr. Manu Tandon Mr. Mehli Mistri Mr. Dilip J. Thakkar Ms. Jasmine Batliwalla Mr. Pedro Bastos
BOARD OF DIRECTORS	Ms. Kishori J. Udeshi - Chairperson Mr. S. P. Mustafa Mr. Dinesh Mittal Mr. Ravi Menon - Chief Executive Officer
HSBC MULTI CAP EQUITY FUND	

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HSBC MUTUAL FUND - 531293 MIRA

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BOARD OF DIRECTORS	Ms. Kishori J. Udeshi - Chairperson Mr. S. P. Mustafa Mr. Dinesh Mittal Mr. Ravi Menon - Chief Executive Officer
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HSBC DYNAMIC ASSET ALLOCATION FUND	
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BOARD OF DIRECTORS	Ms. Kishori J. Udeshi - Chairperson Mr. S. P. Mustafa Mr. Dinesh Mittal Mr. Ravi Menon - Chief Executive Officer
HSBC TAX SAVER EQUITY FUND	
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	Mr. Pedro Bastos
BOARD OF DIRECTORS	Ms. Kishori J. Udeshi - Chairperson Mr. S. P. Mustafa Mr. Dinesh Mittal Mr. Ravi Menon - Chief Executive Officer
HSBC GLOBAL EMERGING MARKETS FUND	
BOARD OF TRUSTEES	Mr. Nasser Munjee Mr. Manu Tandon Mr. Mehli Mistri Mr. Dilip J. Thakkar Ms. Jasmine Batliwalla Mr. Pedro Bastos
BOARD OF DIRECTORS	Ms. Kishori J. Udeshi - Chairperson Mr. S. P. Mustafa Mr. Dinesh Mittal Mr. Ravi Menon - Chief Executive Officer
HSBC ASIA PACIFIC (EX JAPAN) DIVIDEND YIELD FUND	
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BOARD OF DIRECTORS	Ms. Kishori J. Udeshi - Chairperson Mr. S. P. Mustafa Mr. Dinesh Mittal Mr. Ravi Menon - Chief Executive Officer
HSBC BRAZIL FUND	
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HSBC GLOBAL CONSUMER OPPORTUNITIES FUND – BENEFITING FROM CHINA’S GROWING CONSUMPTION POWER	
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HSBC REGULAR SAVINGS FUND	
BOARD OF TRUSTEES	Mr. Nasser Munjee Mr. Manu Tandon Mr. Mehli Mistri Mr. Dilip J. Thakkar Ms. Jasmine Batliwalla Mr. Pedro Bastos
BOARD OF DIRECTORS	Ms. Kishori J. Udeshi - Chairperson Mr. S. P. Mustafa Mr. Dinesh Mittal Mr. Ravi Menon - Chief Executive Officer
HSBC SHORT DURATION FUND	
BOARD OF TRUSTEES	Mr. Nasser Munjee Mr. Manu Tandon Mr. Mehli Mistri Mr. Dilip J. Thakkar Ms. Jasmine Batliwalla Mr. Pedro Bastos
BOARD OF DIRECTORS	Ms. Kishori J. Udeshi - Chairperson Mr. S. P. Mustafa Mr. Dinesh Mittal Mr. Ravi Menon - Chief Executive Officer
HSBC LOW DURATION FUND	
BOARD OF TRUSTEES	Mr. Nasser Munjee Mr. Manu Tandon

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BOARD OF DIRECTORS	Ms. Kishori J. Udeshi - Chairperson Mr. S. P. Mustafa Mr. Dinesh Mittal Mr. Ravi Menon - Chief Executive Officer
HSBC CASH FUND	
BOARD OF TRUSTEES	Mr. Nasser Munjee Mr. Manu Tandon Mr. Mehli Mistri Mr. Dilip J. Thakkar Ms. Jasmine Batliwalla Mr. Pedro Bastos
BOARD OF DIRECTORS	Ms. Kishori J. Udeshi - Chairperson Mr. S. P. Mustafa Mr. Dinesh Mittal Mr. Ravi Menon - Chief Executive Officer
HSBC FLEXI DEBT FUND	
BOARD OF TRUSTEES	Mr. Nasser Munjee Mr. Manu Tandon Mr. Mehli Mistri Mr. Dilip J. Thakkar Ms. Jasmine Batliwalla Mr. Pedro Bastos
BOARD OF DIRECTORS	Ms. Kishori J. Udeshi - Chairperson Mr. S. P. Mustafa Mr. Dinesh Mittal Mr. Ravi Menon - Chief Executive Officer
HSBC DEBT FUND	
BOARD OF TRUSTEES	Mr. Nasser Munjee Mr. Manu Tandon Mr. Mehli Mistri Mr. Dilip J. Thakkar Ms. Jasmine Batliwalla Mr. Pedro Bastos
BOARD OF DIRECTORS	Ms. Kishori J. Udeshi - Chairperson

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	Mr. S. P. Mustafa Mr. Dinesh Mittal Mr. Ravi Menon - Chief Executive Officer
HSBC CAPITAL PROTECTION ORIENTED FUND	
BOARD OF TRUSTEES	Mr. Nasser Munjee Mr. Manu Tandon Mr. Mehli Mistri Mr. Dilip J. Thakkar Ms. Jasmine Batliwalla Mr. Pedro Bastos
BOARD OF DIRECTORS	Ms. Kishori J. Udeshi - Chairperson Mr. S. P. Mustafa Mr. Dinesh Mittal Mr. Ravi Menon - Chief Executive Officer
HSBC FIXED TERM SERIES	
BOARD OF TRUSTEES	Mr. Nasser Munjee Mr. Manu Tandon Mr. Mehli Mistri Mr. Dilip J. Thakkar Ms. Jasmine Batliwalla Mr. Pedro Bastos
BOARD OF DIRECTORS	Ms. Kishori J. Udeshi - Chairperson Mr. S. P. Mustafa Mr. Dinesh Mittal Mr. Ravi Menon - Chief Executive Officer
HSBC MANAGED SOLUTIONS	
BOARD OF TRUSTEES	Mr. Nasser Munjee Mr. Manu Tandon Mr. Mehli Mistri Mr. Dilip J. Thakkar Ms. Jasmine Batliwalla Mr. Pedro Bastos
BOARD OF DIRECTORS	Ms. Kishori J. Udeshi - Chairperson Mr. S. P. Mustafa Mr. Dinesh Mittal Mr. Ravi Menon - Chief Executive Officer

BUSINESS DETAILS

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Line of Business :	Subject is engaged into Mutual Fund Investments that provides a wide range of Mutual Fund and Portfolio management services for all investors. [From Indirect Sources]
Products/Services :	<ul style="list-style-type: none"> • Providing Mutual Fund Investments • Mutual Fund • Portfolio Management Services
Brand Names :	Not Available
Agencies Held :	Not Available
Exports :	Not Divulged
Imports :	Not Divulged
Terms :	Not Divulged

PRODUCTION STATUS NOT AVAILABLE

GENERAL INFORMATION

Suppliers :	Reference :	Not Divulged
	Name of the Person :	--
	Contact No.:	--
	Since How Long Known :	--
	Maximum Limit Dealt :	--
	Experience :	--
	Remark:	--
Customers :	Reference :	Not Divulged
	Name of the Person :	--
	Contact No.:	--
	Since How Long Known :	--
	Maximum Limit Dealt :	--
	Experience :	--
	Remark:	--
No. of Employees :	Information declined by the management	
Bankers :	Bank Name	Not Divulged
	Branch	--
	Person Name (With Designation)	--

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HSBC MUTUAL FUND - 531293 MIRA

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	Contact Number	--
	Name of Account Holder	--
	Account Number	--
	Account Since (Date/Year of Account Opening)	--
	Average Balance Maintained (If Possible)	--
	Credit Facilities Enjoyed (If any)	--
	Account Operation	--
	Remarks (If any)	--

Auditors :	
Name :	BSR and Company LLP Chartered Accountants
Address :	5th Floor, Lodha Excelus, Apollo Mills Compound, N.M. Joshi Marg, Mahalaxmi, Mumbai – 400011, Maharashtra, India
Memberships :	Not Available
Collaborators :	Not Available
Associates/Subsidiaries :	Not Available

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CAPITAL STRUCTURE

AS ON 31.03.2018

Particulars	INR In Million
HSBC Large Cap Equity Fund	768.807
HSBC Global Emerging Markets Fund	64.991
HSBC Multicap Equity Fund	1287.769
HSBC Small Cap Equity Fund	1312.631
HSBC Dynamic Asset Allocation Fund	248.523
HSBC Tax Saver Equity Fund	545.163
HSBC Global Emerging Markets Fund	64.991
HSBC Asia Pacific (Ex Japan) Dividend Yield Fund	59.878
HSBC Brazil Fund	303.579
HSBC Global Consumer Opportunities Fund – Benefiting from China's Growing Consumption Power	33.493
HSBC Regular Savings Fund	1171.422
HSBC Short Duration Fund	6576.081
HSBC Low Duration Fund	4693.224
HSBC Cash Fund	20524.821
HSBC Flexi Debt Fund	1045.880
HSBC Debt Fund	172.390
HSBC Capital Protection Oriented Fund Series II – Plan I	696.757
HSBC Capital Protection Oriented Fund Series II – Plan II	576.120
HSBC Fixed Term Series 94	93.842
HSBC Fixed Term Series 96	9.624
HSBC Fixed Term Series 98	31.395
HSBC Fixed Term Series 125	1185.535
HSBC Fixed Term Series 126	310.193
HSBC Fixed Term Series 128#	650.874
HSBC Fixed Term Series 129#	500.943
HSBC Fixed Term Series 130#	229.623
HSBC Fixed Term Series 131#	403.036
HSBC Fixed Term Series 132#	1232.258
HSBC Fixed Term Series 133#	963.972
HSBC Managed Solutions India – Growth	909.285
HSBC Managed Solutions India – Moderate	1615.123
HSBC Managed Solutions India – Conservative	2060.338
HSBC Large Cap Equity Fund	768.807
HSBC Global Emerging Markets Fund	64.991
Total	51176.359

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FINANCIAL DATA

FINANCIAL DETAILS FILE ATTACHED

LOCAL AGENCY FURTHER INFORMATION

Sr. No.	Check list by info agents	Available in Report (Yes/No)
1	Year of establishment	Yes
2	Constitution of the entity -Incorporation details	Yes
3	Locality of the entity	Yes
4	Premises details	No
5	Buyer visit details	--
6	Contact numbers	Yes
7	Name of the person contacted	No
8	Designation of contact person	No
9	Promoter's background	Yes
10	Date of Birth of Proprietor / Partners / Directors	No
11	Pan Card No. of Proprietor / Partners	No
12	Voter Id Card No. of Proprietor / Partners	No
13	Type of business	Yes
14	Line of Business	Yes
15	Export/import details (if applicable)	No
16	No. of employees	No
17	Details of sister concerns	No
18	Major suppliers	No
19	Major customers	No
20	Banking Details	No
21	Banking facility details	No
22	Conduct of the banking account	--
23	Financials, if provided	Yes
24	Capital in the business	Yes
25	Last accounts filed at ROC, if applicable	Yes
26	Turnover of firm for last three years	Yes
27	Reasons for variation <> 20%	--
28	Estimation for coming financial year	No
29	Profitability for last three years	Yes
30	Major shareholders, if available	No
31	External Agency Rating, if available	No
32	Litigations that the firm/promoter involved in	--
33	Market information	--
34	Payments terms	No

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35	Negative Reporting by Auditors in the Annual Report	No
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CMT REPORT (Corruption, Money Laundering & Terrorism]

The Public Notice information has been collected from various sources including but not limited to: **The Courts, India Prisons Service, Interpol, etc.**

1] INFORMATION ON DESIGNATED PARTY

No records exist designating subject or any of its beneficial owners, controlling shareholders or senior officers as terrorist or terrorist organization or whom notice had been received that all financial transactions involving their assets have been blocked or convicted, found guilty or against whom a judgement or order had been entered in a proceedings for violating money-laundering, anti-corruption or bribery or international economic or anti-terrorism sanction laws or whose assets were seized, blocked, frozen or ordered forfeited for violation of money laundering or international anti-terrorism laws.

2] Court Declaration :

No records exist to suggest that subject is or was the subject of any formal or informal allegations, prosecutions or other official proceeding for making any prohibited payments or other improper payments to government officials for engaging in prohibited transactions or with designated parties.

3] Asset Declaration :

No records exist to suggest that the property or assets of the subject are derived from criminal conduct or a prohibited transaction.

4] Record on Financial Crime :

Charges or conviction registered against subject: **None**

5] Records on Violation of Anti-Corruption Laws :

Charges or investigation registered against subject: **None**

6] Records on Int'l Anti-Money Laundering Laws/Standards :

Charges or investigation registered against subject: **None**

7] Criminal Records

No available information exist that suggest that subject or any of its principals have been formally charged or convicted by a competent governmental authority for any financial crime or under any formal investigation by a competent government authority for any violation of anti-corruption laws or international anti-money laundering laws or standard.

8] Affiliation with Government :

No record exists to suggest that any director or indirect owners, controlling shareholders, director, officer or employee of the company is a government official or a family member or close business associate of a Government official.

9] Compensation Package :

Our market survey revealed that the amount of compensation sought by the subject is fair and reasonable and comparable to compensation paid to others for similar services.

10] Press Report :

No press reports / filings exists on the subject.

CORPORATE GOVERNANCE

MIRA INFORM as part of its Due Diligence do provide comments on Corporate Governance to identify management and governance. These factors often have been predictive and in some cases have created vulnerabilities to credit deterioration.

Our Governance Assessment focuses principally on the interactions between a company's management, its Board of Directors, Shareholders and other financial stakeholders.

CONTRAVENTION

Subject is not known to have contravened any existing local laws, regulations or policies that prohibit, restrict or otherwise affect the terms and conditions that could be included in the agreement with the subject.

FOREIGN EXCHANGE RATES

Currency	Unit	INR
US Dollar	1	INR 72.18
UK Pound	1	INR 95.77
Euro	1	INR 85.04

INFORMATION DETAILS

Information Gathered by :	KMN
Analysis Done by :	NIS
Report Prepared by :	JYO

SCORE FACTORS

DEMERIT POINTS		
--BANK CHARGES	YES/NO	NO
--LITIGATION	YES/NO	NO
--OTHER ADVERSE INFORMATION	YES/NO	NO
MERIT POINTS		
--SOLE DISTRIBUTORSHIP	YES/NO	NO
--EXPORT ACTIVITIES	YES/NO	NO
--AFFILIATION	YES/NO	NO
--LISTED	YES/NO	NO
--OTHER MERIT FACTORS	YES/NO	YES

RATING EXPLANATIONS

Credit Rating	Explanation	Rating Comments
A++	Minimum Risk	Business dealings permissible with minimum risk of default
A+	Low Risk	Business dealings permissible with low risk of default
A	Acceptable Risk	Business dealings permissible with moderate risk of default
B	Medium Risk	Business dealings permissible on a regular monitoring basis
C	Medium High Risk	Business dealings permissible preferably on secured basis
D	High Risk	Business dealing not recommended or on secured terms only
NB	New Business	No recommendation can be done due to business in infancy stage
NT	No Trace	No recommendation can be done as the business is not traceable

NB is stated where there is insufficient information to facilitate rating. However, it is not to be considered as unfavourable.

This score serves as a reference to assess SC's credit risk and to set the amount of credit to be extended. It is calculated from a composite of weighted scores obtained from each of the major sections of this report. The assessed factors are as follows:

- Financial condition covering various ratios
- Company background and operations size
- Promoters / Management background
- Payment record
- Litigation against the subject
- Industry scenario / competitor analysis
- Supplier / Customer / Banker review (wherever available)

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